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PATENT Attorney Docket No. TAM-001

### THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Fawcett et al.

SERIAL NO.:

10/712,076

**GROUP NO.:** 

3629

FILING DATE:

November 13, 2003

**EXAMINER:** 

Not yet assigned

TITLE:

Systems and Methods for Retrieving Data

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patent and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with 37 C.F.R. 1.98(a)(2), only copies of the non-patent publications are enclosed.

# **REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

(1)	within three (3) months of the <b>filing date</b> of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the <b>date of entry of the national stage</b> as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the <b>first Office action</b> on the merits, or before the mailing of a <b>first Office action</b> after the filing of a request for continued examination under 37 C.F.R. 1.114; or
(2)	after the period defined in (1) but before the mailing date of a <b>final action</b> or a <b>notice</b> of allowance under 37 C.F.R. 1.311, and
	the requisite Statement is below, OR
	the requisite fee under 37 C.F.R. 1.17(p), namely \$180.00, is included herein, or

Second Suppl Serial No. 10 Page 2 of 2		nformation Disclosure Statement						
	(3) after the mailing date of a final action or notice of allowance but before the paym of the issue fee, AND							
•	<u> </u>	the requisite Statement is below, AND						
		the requisite petition fee under 37 C.F.R. 1.17(p), namely \$180.00 is included herein.						
	•	lly requested that each of the patents and publications listed on the attached Form						
PTO-1449,	and oth	er information contained herein, be made of record in this application.						
		STATEMENT						
As rec	quired u	nder 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that						
[check the	approp	riate space only if either (2) or (3) is checked on the previous page and the						
Statement	is requi	red]:						
	1.	Each item of information contained in the Information Disclosure Statement was						
		first cited in any communication from a foreign patent office in a counterpart						
		foreign application not more than three months prior to the filing of the						
		Information Disclosure Statement; or						
	2.	No item of information contained in the Information Disclosure Statement was						
		cited in a communication from a foreign patent office in a counterpart foreign						
		application, and, to the knowledge of the person signing this Statement after						
		making reasonable inquiry, no item of information contained in the Information						
		Disclosure Statement was known to any individual designated in 37 C.F.R.						
		1.56(c) more than three months prior to the filing of the Information						
		Disclosure Statement.						
		Respectfully submitted,						
		Onaci C						
Date: Marc								
Reg. No. 56	0,401	Joel B. Lehrer Attorney for Applicants						
		Goodwin Procter LLP						
Tel. No.: (6	•							
Fax No.: (6	1/) 323-	Boston, Massachusetts 02109						

Customer No. 051414

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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: TAM-001

APPLICANT(S): Fawcett et al.

SERIAL NO.: 10/712,076

	SERIAL NO.: 10/712,076									
	FILING DATE: November 13, 2003 GROUP: 3629									3629
			U.S.	PATEN1	DOCU	MENTS				
EXAM. INIT.		DOCUMENT NUMBER	DATE						ING DATE IF PROPRIATE	
- · · · -	A38	6,618,732	09/09/03	White et	White et al.			103	03 11/14/00	
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EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTR CODE	TTRY CLASS SUB FILING ABSTRACT		ACT	ENGLISH LANG (Y/N)		
		C	THER AR	T, JOUR	NAL AF	TICLES	, ETC.			•
EXAM. INIT.	`							on)		
	C11 International Search Report for PCT/US2004/038641 dated February 17, 2005.									
	C12 Written Opinion of the International Searching Authority for PCT/US2004/038641 dated February 17, 2005.  C13 "KBMS-Prototype KRISYS User Manual Overview," December 1992, pages 1-94.  C14 Mattos et al., "Grand Tour of Concepts for Object-Orientation from a Database Point of View," Data & Knowledge Engineering, vol. 9, no. 3, 1992, pages 321-352.									
	C15 Pollitt et al., "HIBROWSE: Adding the Power of Relational Databases to the Traditional IR Architecture – The Future for Graphic User Interfaces," Information Retrieval. New Systems and Current Research. Proceedings of the Research Colloquium of the British Computer Society Systems and Current Research, March 29 1993.									
	C16 Zhang et al., "On a Buzzword "Extensibility" –What We Have Learned from the ORIENT Project?"  Database Engineering and Applications, 1999. Ideas '99. International Symposium Proceedings Montreal, Que., Canada 2-4 Aug. 1999, Los Alamitos, CA, USA, IEEE Comput. Soc. US, August 2, 1999, pages 360- 369									
EXAMIN	ER			<del> </del>	DATE	CONSIDE	RED			

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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**EXAMINER:** 

Not yet assigned

TITLE:

Systems and Methods for Retrieving Data

## CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 2 day of March, 2005.

Susan Boucher

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

### Submitted herewith is/are:

- 1. Transmittal Form (1 page);
- 2. Second Supplemental Information Disclosure Statement (2 pages);
- 3. Form PTO 1449 (1 page);
- 4. Copies of cited references C11-C16; and
- 5. Return-receipt postcard.

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	10, 6		Application Serial Number		10	10/712,076			
MAR 2 1 2015			Filing Date First Named Inventor		No	November 13, 2003			
					Fa	Fawcett			
,	TRANSMITT A	ΛT.	Group Art Unit		36	3629			
			Examiner Name		No	Not yet assigned			
	FORM	Attorney Do		ocket No.	TA	AM-001			
			Patent No.		No	Not applicable			
			Issue Date	<del> </del>	No	ot applicable			
		ENC	LOSURES (c	heck all that apply)					
☐ Fee	Transmittal Form		Copy of Notice	e to File Missing		Notice of Appeal to Board			
	Check Attached	]	Parts of Applic	cation		of Patent Appeals and Interferences			
	Copy of Fee Transmittal Form		Formal Drawir	ng(s)		Appeal Brief (in triplicate)			
	Amendment/Response	1	Request For Co			Status Inquiry			
	☐ Preliminary ☐ After Final		Transmittal		$\boxtimes$	Return Receipt Postcard			
	Affidavits/declaration(s) Letter to Official Draftsperson		Power of Attorney		$\boxtimes$	Certificate of First Class Mailing under 37 C.F.R. 1.8			
	including Drawings [Total Sheets]		Terminal Discl	laimer		Certificate of Facsimile Transmission under 37 C.F.R. 1.8			
Petition for Extension of Time		-	Executed Declaration and Power of Attorney for Utility or Design Patent Application			Additional Enclosure(s) (please identify below)			
	ond Supplemental Information losure Statement		Small Entity Statement						
<ul><li>✓ Form PTO-1449</li><li>✓ Copies of Second</li><li>Supp. IDS Citations (C11-C16)</li></ul>			CD(s) for large table or computer program						
	Certified Copy of Priority		Amendment After Allowance						
	Document(s)  Sequence Listing submission  Paper Copy/CD		Request for Ce Correction Certificate	of Correction (in					
	Computer Readable Copy Statement verifying identity of above	(	duplicate)						
CORRE	SPONDENCE ADDRESS			SIGNATURE BLO	OCK				
		ministrator				Respectfully submitted,			
Goodwin Exchange Boston, M Tel. No.: ( Fax No.: (		Procter LLP Place A 02109 617) 570-1000		Date: March 17, 2005 Reg. No. 56,401 Tel. No.: (617) 570-105 Fax No.: (617) 523-123					

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